



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

McElray, J.L. et al.

Application No.: 10/705,645

Filing Date: November 10, 2003

For: ADAPTIVE PROTECTION FOR RECLOSER CONTROL

Confirmation No.:

Group Art Unit: Not Yet Assigned

Examiner: Not Yet Assigned

DATE OF DEPOSIT: DECEMBER 3, 2003

I HEREBY CERTIFY THAT THIS PAPER IS BEING  
DEPOSITED WITH THE UNITED STATES POSTAL  
SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID,  
ON THE DATE INDICATED ABOVE AND IS  
ADDRESSED TO THE UNITED STATES PATENT AND  
TRADEMARK OFFICE, P.O. BOX 1450, ALEXANDRIA,  
VA 22313-1450.

TYPED NAME: Jonathan M. Waldman  
REGISTRATION NO.: 40,861

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

## INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR § 1.56 and in accordance with 37 CFR §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 CFR § 1.56(b).

In accordance with § 1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of the above identified application as set forth in § 1.491, before the mailing date

of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of request for continued examination under § 1.114, no additional fee is required.

- In accordance with § 1.129(a), this Information Disclosure Statement is being filed in connection with  the first or  second After Final Submission, therefore:
  - Certification in Accordance with § 1.97(e) is attached; or
  - The fee of \$180.00 as set forth in § 1.17(p) is attached.
- In accordance with § 1.97(c), this Information Disclosure Statement is being filed after the period set forth in § 1.97(b) above but before the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311, or before an action that otherwise closes prosecution in the application, therefore:
  - Certification in Accordance with § 1.97(e) is attached; or
  - The fee of \$180.00 as set forth in § 1.17(p) is attached.
- In accordance with § 1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with § 1.97(e); and the submission fee of \$180.00 as set forth in § 1.17(p).

- Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.
- Copies of references listed on the attached Form PTO-1449 are enclosed herewith
- Copies of references listed on the attached Form PTO 1449 are not required to be submitted pursuant to the June 30, 2003 recent revisions to 37 CFR § 1.98(a)(2)(i).

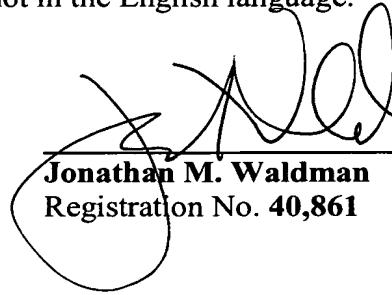
EXCEPT THAT:

- In view of the voluminous nature of references [list as appropriate], and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.
- In accordance with § 1.98(d), copies of the following references listed on the attached Form PTO-1449 are not enclosed herewith because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application(s) for which a claim for priority under 35 U.S.C. § 120 have been made in the instant application:
  - Copies of references 1 thru 10 listed on the attached Form PTO-1449 were previously cited by or submitted to the Patent and Trademark Office in prior Application No. 09/749,195, filed December 27, 2000.

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050. This form is submitted in duplicate.

There are no listed references which are not in the English language.

Date: *DECEMBER 3, 2003*

  
Jonathan M. Waldman  
Registration No. 40,861

WOODCOCK WASHBURN LLP  
One Liberty Place - 46th Floor  
Philadelphia, PA 19103  
Telephone: (215) 568-3100  
Facsimile: (215) 568-3439



<b>Form PTO-1449 Modified</b>		Docket No. <b>ABMS-0205/B000291</b>	Application No. <b>10/705,645</b>
List of Patent and Publications Cited by Applicant (Use several sheets if necessary)		Applicant <b>McElray, J.L. et al.</b>	
U.S. Department of Commerce Patent and Trademark Office		Filing Date <b>November 10, 2003</b>	Group <b>Not Yet Assigned</b>

## U. S. PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Name	Class	Subclass
	1	4,466,071	8/14/84	Russell, Jr.	364	492
	2	5,029,039	7/2/91	Yeh	361	59
	3	5,241,444	8/31/93	Yeh	361	59
	4	5,506,789	4/9/96	Russell et al.	364	492
	5	5,550,751	8/27/96	Russell	364	492
	6	5,638,296	6/10/97	Johnson et al.	364	492
	7	5,659,453	8/19/97	Russell et al.	361	93
	8	6,005,757	12/21/99	Shvach, et al.	361	64
	9	6,347,027	02/02	Nelson, et al.	361	64

## FOREIGN PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO

EXAMINER	DATE CONSIDERED
----------	-----------------



<b>Form PTO-1449 Modified</b>		Docket No. <b>ABMS-0205/B000291</b>	Application No. <b>10/705,645</b>
List of Patent and Publications Cited by Applicant (Use several sheets if necessary)		Applicant <b>McElray, J.L. et al.</b>	
U.S. Department of Commerce Patent and Trademark Office		Filing Date <b>November 10, 2003</b>	Group <b>Not Yet Assigned</b>
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>			
	<b>10</b>	VR-3S Recloser 15-38kV brochure, ABB Distribution Automation Equipment Division, Raleigh, NC, Descriptive Bulletin 38-741-27 ( <b>June 1999</b> )	
<b>EXAMINER</b>		<b>DATE CONSIDERED</b>	